

1                   **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

2   Serial No. ....09/870,811  
3   Filing Date ..... 5/31/2001  
4   Confirmation No.....1258  
5   Inventorship ..... Andrew J.R. Smith  
6   Applicant..... Goldman, Sachs & Co.  
7   Group Art Unit .....2141  
8   Examiner ..... Djenane M Bayard  
9   Attorney's Docket No. .... GS1-0011US  
10   Title: Method and System for Processing Financial Data Objects Carried on  
11   Broadcast Data Streams and Delivering Information to Subscribing Clients

12   To:       Commissioner for Patents  
13           P.O. Box 1450  
14           Alexandria, VA 22313-1450

15   From:     Jim Patterson (Tel. 509-324-9256; Fax 509-323-8979)  
16           Customer Number: 29150  
17           Lee & Hayes, PLLC  
18           421 W. Riverside Avenue, Suite 500  
19           Spokane, WA 99201

20           Fees will be paid by credit card through the EFS Web; however the  
21   Commissioner is hereby authorized to charge any deficiency of fees and credit any  
22   overpayments to Deposit Account Number 12-0769.

23                                   Respectfully Submitted,

24   Dated: 8/17/01

25   By:  95,315

For: Jim Patterson  
Reg. No. 52103  
(509) 324-9256